

## **OCM Investment, LLC's Business Continuity Planning**

OCM Investment, LLC (“OCM Investments” or the “Firm”), a subsidiary of Oaktree Capital Management, L.P., (“Oaktree”) has developed a Business Continuity Plan outlining how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

**Contacting Us** – If after a significant business disruption you cannot contact us through normal channels, you should contact Kristin Scott, our Chief Compliance Officer, at [kscott@brokerdealer.bz](mailto:kscott@brokerdealer.bz). If you cannot access us by this method, call the Oaktree Client Hotline at (888) 626-4549.

**Our Business Continuity Plan** – Our Firm’s policy is to respond to a Significant Business Disruption (“SBD”) by safeguarding employee’s lives and Firm property, making a financial and operational assessment, quickly recovering and resuming operations, protecting all of the Firm’s books and records, and allowing our clients to invest in the products offered by the Firm. In the event that we determine we are unable to continue our business, we will ensure that customers have the appropriate information to contact Oaktree in order to obtain information regarding their funds and securities.

Our business continuity plan addresses: data back up and recovery for all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; regulatory reporting; and assuring our customers prompt communication regarding our alternate operations and that of Oaktree.

**Varying Disruptions** – Significant business disruptions can vary in their scope. The severity of the disruption can also vary from minimal to severe. If a disruption impacts our ability to access our office facilities, though all systems may be functioning, remote access to these facilities will be provided over the internet. If a disruption also renders the systems unusable, remote access to a systems recovery location will be provided over the internet. In the former scenario, we expect recovery and resumption of operations within hours; in the latter scenario recovery and resumption is expected within 48 hours. We plan to continue operations and to communicate to you the method by which to contact us.

**For more information** – If you have questions about our business continuity plan, you can contact us at (213) 830-6300 or Kristin Scott, our Chief Compliance Officer, at [kscott@oaktrecapital.com](mailto:kscott@oaktrecapital.com).